

K. Michael Conaway

Member of Congress
11th District of Texas
1527 Longworth House Office Building
Washington, D.C. 20515

August 3, 2010

MC ✓

Chairwoman Zoe Lofgren
Committee on Standards of Official Conduct
U.S. House of Representatives
Legislative Resource Center, Room B-106
Cannon House Office Building
Washington, D.C. 20515-6612

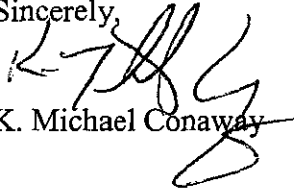
Ranking Member Jo Bonner
Committee on Standards of Official Conduct
U.S. House of Representatives
Legislative Resource Center, Room B-106
Cannon House Office Building
Washington, D.C. 20515-6612

RE: Amended Financial Disclosure Statement – Schedules III and IV

Dear Chairwoman Lofgren & Ranking Member Bonner,

I have enclosed an original and two copies of my Amended Financial Disclosure Statement, Schedules III and IV for the period covering calendar year 2009.

Sincerely,


K. Michael Conaway

**HAND
DELIVERED**

(432) 685-1433 - (432) 685-1435 facsimile
mikeconaway65@yahoo.com

2010 AUG 12 AM 11:33
LEGISLATIVE RESOURCE CENTER
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2009 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Page 0 of 0

K. Michael Conaway
(Full Name)

202-225-3605
(Daytime Telephone)

Filer Status
☒ Member of the U.S. House of Representative

State: TX
District: 11

☐ Officer Or Employee

Employing Office:

Report Type
☐ Annual (May 15)

☒ Amendment

☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

NO HAND DELIVERED

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Standards of Official Conduct.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name K. Michael Conway

BLOCK A Asset and/or Income Source Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other assets or sources of income which generated more than \$200 in "unearned" income during the year. For rental property or land, provide a complete address. Provide full names of stocks and mutual funds (do not use ticker symbols). For all IRAs and other retirement plans (such as 401(k) plans) that are self directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value and income information on each asset in the account that exceeds the reporting threshold. For retirement plans that are not self-directed, name the institution holding the account and its value at the end of the reporting period. For an active business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A. For additional information, see the instruction booklet. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent or sibling; any deposits totaling \$5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B Year-End Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C Type of Income Check all columns that apply. For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA". For all other assets including all IRAs, indicate the type of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if asset did not generate any income during the calendar year.	BLOCK D Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, including all IRAs, indicate the category of income by checking the appropriate box below. Dividends and interest, even if reinvested, should be listed as income. Check "None" if no income was earned or generated.	BLOCK E Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
SEE ATTACHED				

K. MICHAEL CONAWAY
Financial Disclosure Statement

December 31, 2009

Schedule III

ASSETS AND "UNEARNED INCOME"

SP/DC/JT	Block A	Block B	Block C	Block D	Block E
	Assets and Income Source	Value of Assets	Type of Income	Amount of Income	P,S,E
				Current Year	
	Publicly traded common stock				
SP Trust	Novell	\$1 - \$1,000	None	None	
SP Trust	CISCO Systems	\$1,001 - \$15,000	None	None	
SP Trust	Micron Technology	\$1,001 - \$15,000	None	None	
SP Trust	Teradyne Inc	\$1,001 - \$15,000	None	None	
SP Trust	Microsoft Corp	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP Trust	Clayton Williams Energy	\$15,001 - \$50,000	None	None	
SP Trust	Southwest Airlines	\$1,001 - \$15,000	Dividend	\$1 - \$200	
	Money Market accounts				
SP Trust	Centennial Money Market Trust	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP Trust	Merrill Lynch Money Fund	\$50,001 - \$100,000	Interest	\$2,501 - \$5,000	
SP Trust	RMA Money Market-UBS Paine Weber	\$1,001 - \$15,000	Dividend	\$1 - \$200	
	Publicly traded mutual funds				
SP Trust	Dreyfus Premier Tax Managed Growth Fd CI A	\$50,001 - \$100,000	Dividend	\$201 - \$1,000	
SP Trust	Eaton Vance Tax Managed Growth Fd CI B	\$15,001 - \$50,000	Dividend	\$1 - \$200	
SP Trust	Eaton Vance Tax Managed Growth Fd CI A	\$15,001 - \$50,000	Dividend	\$201 - \$1,000	
SP Trust	Magnolia Texas ISD Bonds	\$0	Capital Gain	\$2,501 - \$5,000	S
SP Trust	Federated Municipal High Yield Advantage Fd CI H	\$50,001 - \$100,000	Dividend	\$2,501 - \$5,000	P
SP Trust	MFS Municipal High Income Fd CI A	\$100,001 - \$250,000	Dividend	\$5,001 - \$15,000	P
SP Trust	Southwest Airlines	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP Trust	Micron Technology	\$1,001 - \$15,000	None	None	
SP Trust	Wells Fargo Checking - Midland, Texas	\$15,001 - \$50,000	Interest	\$1 - \$200	

Block A		Block B		Block C	Block D		Block E
<u>Assets and Income Source</u>		<u>Value of Assets</u>		<u>Type of Income</u>	<u>Amount of Income</u>		<u>P,S,E</u>
<u>SP/DC/JT</u>					<u>Current Year</u>		
SP Trust	Eaton Vance Tax Managed Growth Fund	\$15,001 - \$50,000		Dividend	\$201 - \$1,000		
SP Trust	Franklin Templeton Founding Fund	\$50,001 - \$100,000		Dividend	\$1,001 - \$2,500		P
SP Trust	UBS Money Fund Fund	\$1,001 - \$15,000		Dividend	\$201 - \$1,000		
SP Trust	Centennial Money Market Trust	\$15,001 - \$50,000		Dividend	\$201 - \$1,000		
Managed Trusts							
SP Trust	Merrill Lynch Managed Account-Acct N <i>(Not self directed)</i>	\$250,001 - \$500,000		Dividend, Interest, Cap Gain	\$5,001 - \$15,000		P/S
SP Trust	Kidwell Exploration-S Corp, Midland, Texas	\$15,001 - \$50,000		Oil & Gas Royalty	\$2,501 - \$5,000		
SP Trust	Oil & Gas Mineral Interests	\$15,001 - \$50,000		Oil & Gas Royalty	\$2,501 - \$5,000		
SP Trust	La Oira Cattle Co - Partnership - Midland, Texa	\$15,001 - \$50,000		Oil & Gas Royalty	\$5,001 - \$15,000		
SP Trust	Telephonica Moviles Argentina SA BA Ord	NA		None	None		
SP Trust	Telephonica de Argentina SA Ord CI B	\$1,001 - \$15,000		None	None		
JT	Conawell Corporation-S Corp, Midland, Texas	\$50,001 - \$100,000		Capital Gain	\$2,501 - \$5,000		
<i>Passive investment entity</i>							
JT	Merrill Lynch Money Fund	\$15,001 - \$50,000		Interest	\$1 - \$200		
JT	Clayton Williams Energy - common stock	\$15,001 - \$50,000		None	None		
JT	Wells Fargo Bank - checking - Midland, Texas	\$1,001 - \$15,000		Interest	\$1 - \$200		
JT	Wells Fargo Bank - savings - Midland, Texas	\$15,001 - \$50,000		Interest	\$1 - \$200		
JT	Wright Patman Federal Credit Union - checking	\$1,001 - \$15,000		None	None		
JT	Wright Patman Federal Credit Union - savings	\$1 - \$1,000		Interest	\$1 - \$200		
JT	Novell, Inc	\$1 - \$1,000		None	None		
JT	Turbosonic Technologies	\$1 - \$1,000		None	None		
JT	Centennial Money Market	\$15,001 - \$50,000		Dividend	\$201 - \$1,000		
KMC	Oil & Gas Mineral Interests - Midland, Texas *	\$1,001 - \$15,000		Oil & Gas Royalty	\$2,501 - \$5,000		
KMC	KMC, Inc. - Investments - Midland, Texas	\$1,001 - \$15,000		Dividend	\$1 - \$200		

	Block A	Block B	Block C	Block D	Block E
	<u>Assets and Income Source</u>	<u>Value of Assets</u>	<u>Type of Income</u>	<u>Amount of Income</u>	<u>P,S,E</u>
SP/DC/JT				Current Year	
KMC	Conabain Corp - Oil & Gas - Midland, Texas	\$1 - \$1,000	Oil & Gas Royalty	\$1 - \$200	
	Publicly traded common stock				
KMC	Harkin Energy	\$1 - \$1,000	None	None	
	Publicly traded mutual funds				
SP	Van Kampen Tax Exempt High Yield Fund	\$100,001 - \$250,000	Dividend	\$5,001 - \$15,000	P
SP	Virtus Intermediate Tax-Exempt Bond FD CL a	\$50,001 - \$100,000	Dividend	\$1 - \$200	P
SP	Oppenheimer Rochester Nat'l Muni Fund CI A	\$1 - \$1,000	Dividend	\$1 - \$200	P/S
SP	Merrill Lynch Bank & Trust	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000	
SP	Pioneer Municipal High Income Advantage	\$0	Dividend-TE	None	S
SP	UBS Bank USA Dep Acct	\$15,001 - \$50,000	Dividend	\$1 - \$200	
SP	Federated Strategic Income Fund	\$15,001 - \$50,000	Dividend & Capital Gair	\$1,001 - \$2,500	P
SP	Federated Market Opportunities Fund	\$1,001 - \$15,000	Dividend	\$201 - \$1,000	
SP	Federated Kaufman Fund	\$15,001 - \$50,000	Dividend & Capital Gair	None	
SP	Federated Capital Appreciation Fund	\$15,001 - \$50,000	Dividend & Capital Gair	\$1 - \$200	
SP	Franklin Templeton Founding Fund	\$15,001 - \$50,000	Dividend & Capital Gair	\$201 - \$1,000	
SP	DWS Short Term Muni Bond Fund CI A	\$250,001 - \$500,000	Dividend-TE	\$5,001 - \$15,000	P/S
SP	Magnolia Texas ISD Bonds 5.125% 08	\$0	Capital Gain	\$2,501 - \$5,000	S
SP	Magnolia Texas ISD Bonds 5.125% 08	\$0	Capital Gain	\$1,001 - \$2,500	S
SP	Mizrahi Telahot BK LA, CA .5% 10/08/09	\$0	Interest		P/S
SP	Virtus Intermediate Tax-Exempt Bond FD CL a	\$50,001 - \$100,000	Capital Gain	None	P
SP	Bank of America CD .400% 02/19/10	\$50,001 - \$100,000	Interest	None	P
SP	Bank of America CD .100% 04/08/10	\$50,001 - \$100,000	Interest	None	P
SP	Ally Bank CD .550% 05/14/10	\$50,001 - \$100,000	Interest	None	P
SP	Metlife Bank CD .750% 08/10/10	\$50,001 - \$100,000	Interest	None	P
SP	Eurobank CD 3.10% 01/14/2009	\$0	Interest	None	S
SP	Home Savings & Loan CD 3.05% 01/23/2009	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	S
SP	Bank of Oklahoma CD 3.00% 01/30/2009	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	S
SP	Banco POP Puerto Rico CD 3.30% 04/23/2009	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	S
SP	National City Bank Cleveland CD 3.35% 04/27	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	S
SP	First Regional Bank LA 3.40% 6/11/2009	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500	S

Block A		Block B		Block C		Block D		Block E
Assets and Income Source		Value of Assets		Type of Income		Amount of Income Current Year		P,S,E
SP/DC/JT								
SP	Capmark Bank Midvale UT CD 3.60% 7/09/20	\$50,001 - \$100,000	Interest			\$1,001 - \$2,500		S
SP	Carolina First Bank CD 3.60% 07/13/2009	\$50,001 - \$100,000	Interest			\$1,001 - \$2,500		S
SP	State Bank of India NY CD 3.70% 07/17/2009	\$50,001 - \$100,000	Interest			\$1,001 - \$2,500		S
SP	Comm Bank Tri-County Waldorf MD CD 3.50%	\$50,001 - \$100,000	Interest			\$1,001 - \$2,500		S
SP	Dallas Co TX UTL 5.00% 02/15/2024	\$250,001 - \$500,000	Interest			\$15,001 - \$50,000		
SP	Wells Fargo Bank - CD 5.88% 01/06/10	\$15,001 - \$50,000	Interest			\$201 - \$1,000		
SP	Wells Fargo Bank - Midland, Texas	\$15,001 - \$50,000	Interest			\$1 - \$200		
SP	La Otra Cattle Co - Partnership - Midland, Texe	\$15,001 - \$50,000	Oil & Gas Royalty			\$5,001 - \$15,000		
SP	Kidwell Exploration, S Corp-Midland, Texas	\$15,001 - \$50,000	Oil & Gas Royalty			\$2,501 - \$5,000		
SP	SV Investments, Ltd.	\$15,001 - \$50,000	Investment Partnership			\$15,001 - \$50,000		
SP	Prudential SSA	NA	Structured Settlement Payment			\$50,001 - \$100,000		
SP	Met life Insurance	NA	Structured Settlement Payment			\$50,001 - \$100,000		
SP	Allstate Insurance	NA	Structured Settlement Payment			\$15,001 - \$50,000		
JT-IRA	JP Morgan Chase common stock	\$50,001 - \$100,000	Dividend			\$201 - \$1,000		P
JT-IRA	IBM common stock	\$50,001 - \$100,000	Dividend			\$1,001 - \$2,500		P
SP	AIM Capital Development Fund	\$15,001 - \$50,000	Dividend & Capital Gair			\$1 - \$200		
SP	Alliance Bernstein Growth Fund	\$15,001 - \$50,000	Dividend			None		
SP	Federated Strategic Income Fund	\$1,001 - \$15,000	Dividend & Capital Gair			\$201 - \$1,000		
SP	Federated Market Opportunities Fund	\$1,001 - \$15,000	Dividend & Capital Gair			\$1 - \$200		
SP	Federated Kaufman Fund	\$1,001 - \$15,000	Dividend & Capital Gair			None		
SP	Federated Capital Appreciation Fund	\$1,001 - \$15,000	Dividend & Capital Gair			\$1 - \$200		
SP	UBS U.S. Allocation Fund	\$15,001 - \$50,000	Dividend			\$1,001 - \$2,500		P
SP	MFS Core Equity Fund Class A	\$15,001 - \$50,000	Dividend			\$1 - \$200		
SP	UBS PW Retirement Money Fund	\$1,001 - \$15,000	Dividend			\$1 - \$200		
JT-IRA	AIM Constellation Fund	\$1,001 - \$15,000	Dividend			\$1 - \$200		
JT-IRA	Valley National Bancorp	\$1,001 - \$15,000	Dividend			\$1 - \$200		
JT-IRA	ML Bank USA RASP	\$1,001 - \$15,000	Dividend			\$1 - \$200		

<u>SP/DC/JT</u>	<u>Block A</u>	<u>Block B</u>	<u>Block C</u>	<u>Block D</u>	<u>Block E</u>
	<u>Assets and Income Source</u>	<u>Value of Assets</u>	<u>Type of Income</u>	<u>Amount of Income</u> <u>Current Year</u>	<u>P,S,E</u>
JT-IRA	Centennial Money Market Trust/AGE	\$1,001 - \$15,000	Dividend	\$1 - \$200	
JT-IRA	Putnam Global Health Care Fund CI A ***	\$1,001 - \$15,000	Dividend & Capital Gain	\$1 - \$200	
JT-IRA	Eaton Vance Special Investment Trust	\$1,001 - \$15,000	Dividend & Capital Gain	\$1 - \$200	
SP	Edward Jones Money Market	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP	Port Seattle Washington Rev Bd 10/01/08	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
SP	Chicago II Midway Rev Bd 01/01/2011	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
SP	Dallas/Ft Worth Intl Airport Rev Bd 11/01/2009	\$1,001 - \$15,000	Interest	\$201 - \$1,000	
SP	Van Kampen Unit Trust 490 Series 18	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP	AI&T Common Stock	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP	Campbell Soup Common Stock	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP	Coca-Cola Common Stock	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP	Microsoft Corp common Stock	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP	AMCAP Fund CI A	\$1,001 - \$15,000	Dividend & Capital Gain	\$1 - \$200	
SP	American Balanced Fund CI A	\$1,001 - \$15,000	Dividend & Capital Gain	\$201 - \$1,000	
SP	American Mutual Fund CI A	\$1,001 - \$15,000	Dividend & Capital Gain	\$201 - \$1,000	
SP	Capital Income Builder Fund CI A	\$1,001 - \$15,000	Dividend & Capital Gain	\$201 - \$1,000	
SP	Capital World Growth & Income Fund CI A	\$50,001 - \$100,000	Dividend & Capital Gain	\$1,001 - \$2,500	P
SP	Columbia Tax Exempt Fund CI A	\$15,001 - \$50,000	Interest-exempt	\$1,001 - \$2,500	P
SP	Euro Pacific Growth Fund CI A	\$15,001 - \$50,000	Dividend & Capital Gain	\$201 - \$1,000	
SP	Fundamental Investors Fund CI A	\$50,001 - \$100,000	Dividend & Capital Gain	\$201 - \$1,000	
SP	Growth Fund of America CI A	\$15,001 - \$50,000	Dividend & Capital Gain	\$1 - \$200	
SP	Hartford Global Health Fund CI A	\$1,001 - \$15,000	Dividend & Capital Gain	\$1 - \$200	
SP	Hartford Stock Fund CI A	\$1,001 - \$15,000	Dividend	\$1 - \$200	
SP	Investment Company of America CI A	\$15,001 - \$50,000	Dividend & Capital Gain	\$201 - \$1,000	
SP	New World Fund CI A	\$1,001 - \$15,000	Dividend & Capital Gain	\$1 - \$200	
SP	Putnam Global Health Care Fund CI A ***	\$1,001 - \$15,000	Dividend & Capital Gain	None	
SP	Tax Exempt Bond Fund of America CI A	\$1,001 - \$15,000	Dividend	None	
SP	Washington Mutual Investors Fund CI A	\$50,001 - \$100,000	Dividend & Capital Gain	\$1,001 - \$2,500	P
SP-IRA	Washington Mutual Investors Fund CI A	\$1,001 - \$15,000	Dividend & Capital Gain	\$1 - \$200	
SP	Farm Land Eastland County, Texas	\$100,001 - \$250,000		None	

<u>Block A</u>		<u>Block B</u>	<u>Block C</u>	<u>Block D</u>	<u>Block E</u>
<u>Assets and Income Source</u>		<u>Value of Assets</u>	<u>Type of Income</u>	<u>Amount of Income</u> Current Year	<u>P.S.E</u>
<u>SP/DC/JT</u>					
	2/3 undivided interest in 89 acres				
SP	Various Louisiana oil & gas royalty interests	\$15,001 - \$50,000	Oil & Gas Royalty interests	\$5,001 - \$15,000	
SP	Wells Fargo Bank Account-Beneficial Interest	\$1,001 - \$15,000	Interest	\$1 - \$200	
	Putnam Health Science Trust - name change to				
	Putnam Global Health Care Fund Cl A ***				
	Oil & Gas Mineral Interests - Midland, Texas **				
	Reported as Lario Oil & Gas previously				

SCHEDULE IV - TRANSACTIONS

Name **K. Michael Conway**

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	SEE ATTACHED				

R. MICHAEL CONAWAY
Financial Disclosure Statement

December 31, 2009

Schedule IV

TRANSACTIONS

SP/DC/JT	Asset	Type of Transaction			CG	Date	Amount
		Purchase	Sale	Exchange			
SP	Mizrahi Telahot BK LA, CA .5% 10/08/09	X			X	04/01/09	\$100,001 - \$250,000
SP	Mizrahi Telahot BK LA, CA .5% 10/08/09		X			10/08/09	\$100,001 - \$250,000
SP	Carolina First Bank CD 3.60% 07/13/2009		X			07/13/09	\$50,001 - \$100,000
SP	Capmark Bank Midvale UT CD 3.60% 7/09/2009		X			07/09/09	\$50,001 - \$100,000
SP	Eurobank CD 3.10% 01/14/2009		X			01/14/09	\$50,001 - \$100,000
SP	Bank of Oklahoma CD 3.00% 01/30/2009		X			01/30/09	\$50,001 - \$100,000
SP	Comm Bank Tri-County Waldorf MD CD 3.50% 7/30/2009		X			07/30/09	\$50,001 - \$100,000
SP	State Bank of India NY CD 3.70% 07/17/2009		X			07/17/09	\$50,001 - \$100,000
SP	Banco POP Puerto Rico CD 3.30% 04/23/2009		X			04/23/09	\$50,001 - \$100,000
SP	Home Savings & Loan CD 3.05% 01/23/2009		X			01/23/09	\$50,001 - \$100,000
SP	National City Bank Cleveland CD 3.35% 04/27/2009		X			04/27/09	\$50,001 - \$100,000
SP	First Regional Bank LA 3.40% 6/11/2009		X			06/11/09	\$50,001 - \$100,000
SP	Magnolia Texas ISD Bonds 5.125% 08/15/2019		X		X	12/10/09	\$15,001 - \$50,000
SP Trust	Merrill Lynch Managed Account-Acct N - various	X	X		X	Various	\$250,001 - \$500,000
SP	Virtus Intermediate Tax-Exempt Bond FD CL a	X				12/23/09	\$50,001 - \$100,000
SP	Bank of America CD .400% 02/19/10	X				08/10/09	\$50,001 - \$100,000
SP	Bank of America CD .100% 04/08/10	X				03/30/09	\$50,001 - \$100,000
SP	Ally Bank CD .550% 05/14/10	X				08/10/09	\$50,001 - \$100,000
SP	Metlife Bank CD .750% 08/10/10	X				08/10/09	\$50,001 - \$100,000
SP	Magnolia Texas ISD Bonds 5.125% 08/15/2019		X		X	12/10/09	\$15,001 - \$50,000
SP	Oppenheimer Rochester Nat'l Muni Fund CI A		X			01/05/09	\$15,001 - \$50,000
SP	Pioneer Municipal High Income Advantage		X			01/05/09	\$50,001 - \$100,000
<i>The following are multiple dividend and capital gain reinvestments during the year</i>							
SP	Capital World Growth & Income Fund CI A	X					\$1,001 - \$15,000
SP	Columbia Tax Exempt Fund CI A	X					\$1,001 - \$15,000
SP	Washington Mutual Investors Fund CI A	X					\$1,001 - \$15,000
SP Trust	Franklin Templeton Founding Fund	X					\$1,001 - \$15,000
SP Trust	Federated Municipal High Yield Advantage Fd CI B	X					\$1,001 - \$15,000
SP Trust	MFS Municipal High Income Fd CI A	X					\$1,001 - \$15,000
JT-IRA	JP Morgan Chase common stock	X					\$201 - \$1,000
JT-IRA	IBM common stock	X					\$1,001 - \$15,000
SP	Van Kampen Tax Exempt High Yield Fund	X					\$1,001 - \$15,000
SP	Oppenheimer Rochester Nat'l Muni Fund CI A	X					\$1,001 - \$15,000
SP	Federated Strategic Income Fund	X					\$1,001 - \$15,000
SP	UBS U.S. Allocation Fund	X					\$1,001 - \$15,000
SP Trust	Merrill Lynch Managed Account-Acct N	X					\$1,001 - \$15,000
SP	DWS Short Term Muni Bond Fund CI A	X					\$1,001 - \$15,000